

SENATE BILL NO. \_\_\_\_\_ HOUSE BILL NO. \_\_\_\_\_

1 A BILL to amend and reenact §§ 2.2-3114, 2.2-3117, 2.2-3118, 30-110, and 30-111 of the Code of  
2 Virginia, relating to the State and Local Government and General Assembly Conflict of Interest  
3 Acts.

4 **Be it enacted by the General Assembly of Virginia:**

5 **1. That §§ 2.2-3114, 2.2-3117, 2.2-3118, 30-110, and 30-111 of the Code of Virginia are amended**  
6 **and reenacted as follows:**

7 § 2.2-3114. Disclosure by state officers and employees.

8 A. The Governor, Lieutenant Governor, Attorney General, Justices of the Supreme Court, judges  
9 of the Court of Appeals, judges of any circuit court, judges and substitute judges of any district court,  
10 members of the State Corporation Commission, members of the Virginia Workers' Compensation  
11 Commission, members of the Commonwealth Transportation Board, members of the Board of Trustees  
12 of the Virginia Retirement System, and members of the State Lottery Board and other persons  
13 occupying such offices or positions of trust or employment in state government, including members of  
14 the governing bodies of authorities, as may be designated by the Governor or, in the case of officers or  
15 employees of the legislative branch, by the Joint Rules Committee of the General Assembly, shall file,  
16 as a condition to assuming office or employment, a disclosure statement of their personal interests and  
17 such other information as is specified on the form set forth in § 2.2-3117 and thereafter shall file such a  
18 statement annually on or before January 15. When the filing deadline falls on a Saturday, Sunday, or  
19 legal holiday, the disclosure statement shall be filed on the next day that is not a Saturday, Sunday, or  
20 legal holiday.

21 B. Nonsalaried citizen members of all policy and supervisory boards, commissions and councils  
22 in the executive branch of state government, other than the Commonwealth Transportation Board,  
23 members of the Board of Trustees of the Virginia Retirement System, and the State Lottery Board, shall  
24 file, as a condition to assuming office, a disclosure form of their personal interests and such other

25 information as is specified on the form set forth in § 2.2-3118 and thereafter shall file such form  
26 annually on or before January 15. When the filing deadline falls on a Saturday, Sunday, or legal holiday,  
27 the disclosure statement shall be filed on the next day that is not a Saturday, Sunday, or legal holiday.

28 Nonsalaried citizen members of other boards, commissions and councils, including advisory boards and  
29 authorities, may be required to file a disclosure form if so designated by the Governor, in which case the  
30 form shall be that set forth in § 2.2-3118.

31 C. The disclosure forms required by subsections A and B shall be provided by the Secretary of  
32 the Commonwealth to each officer and employee so designated, including officers appointed by  
33 legislative authorities, not later than November 30 of each year. Disclosure forms shall be filed and  
34 maintained as public records for five years in the Office of the Secretary of the Commonwealth.

35 D. Candidates for the offices of Governor, Lieutenant Governor or Attorney General shall file a  
36 disclosure statement of their personal interests as required by § 24.2-502.

37 E. Any officer or employee of state government who has a personal interest in any transaction  
38 before the governmental or advisory agency of which he is an officer or employee and who is  
39 disqualified from participating in that transaction pursuant to subdivision A 1 of § 2.2-3112, or  
40 otherwise elects to disqualify himself, shall forthwith make disclosure of the existence of his interest,  
41 including the full name and address of the business and the address or parcel number for the real estate if  
42 the interest involves a business or real estate, and his disclosure shall also be reflected in the public  
43 records of the agency for five years in the office of the administrative head of the officer's or employee's  
44 governmental agency or advisory agency or, if the agency has a clerk, in the clerk's office.

45 F. An officer or employee of state government who is required to declare his interest pursuant to  
46 subdivision A 2 of § 2.2-3112, shall declare his interest by stating (i) the transaction involved, (ii) the  
47 nature of the officer's or employee's personal interest affected by the transaction, (iii) that he is a  
48 member of a business, profession, occupation, or group the members of which are affected by the  
49 transaction, and (iv) that he is able to participate in the transaction fairly, objectively, and in the public  
50 interest. The officer or employee shall either make his declaration orally to be recorded in written  
51 minutes for his agency or file a signed written declaration with the clerk or administrative head of his

52 governmental or advisory agency, as appropriate, who shall, in either case, retain and make available for  
 53 public inspection such declaration for a period of five years from the date of recording or receipt. If  
 54 reasonable time is not available to comply with the provisions of this subsection prior to participation in  
 55 the transaction, the officer or employee shall prepare and file the required declaration by the end of the  
 56 next business day.

57 G. An officer or employee of state government who is required to declare his interest pursuant to  
 58 subdivision A 3 of § 2.2-3112, shall declare his interest by stating (i) the transaction involved, (ii) that a  
 59 party to the transaction is a client of his firm, (iii) that he does not personally represent or provide  
 60 services to the client, and (iv) that he is able to participate in the transaction fairly, objectively, and in  
 61 the public interest. The officer or employee shall either make his declaration orally to be recorded in  
 62 written minutes for his agency or file a signed written declaration with the clerk or administrative head  
 63 of his governmental or advisory agency, as appropriate, who shall, in either case, retain and make  
 64 available for public inspection such declaration for a period of five years from the date of recording or  
 65 receipt. If reasonable time is not available to comply with the provisions of this subsection prior to  
 66 participation in the transaction, the officer or employee shall prepare and file the required declaration by  
 67 the end of the next business day.

68 § 2.2-3117. Disclosure form.

69 The disclosure form to be used for filings required by § 2.2-3114 A and D, and § 2.2-3115 A and

70 D shall be substantially as follows:

71 STATEMENT OF ECONOMIC INTERESTS.

72  
 73  
 74 Name .....  
 75 Office or position held or sought .....  
 76 Home address .....  
 77 Names of members of immediate family .....

78  
 79 DEFINITIONS AND EXPLANATORY MATERIAL.

80  
 81 "Immediate family" means (i) a spouse and (ii) any other person  
 82 residing in the same household as the officer or employee, who  
 83 is a dependent of the officer or employee or of whom the officer  
 84 or employee is a dependent.

85  
 86 "Deferred compensation" means income that is taxed when  
 87 received and not when earned.

88  
 89 "Deferred compensation plan" means an arrangement whereby an  
 90 employee or owner defers some portion of their current income  
 91 until a specified date. A deferred compensation plan may entitle  
 92 the employee or owner to a portion of investment income generated  
 93 by virtue of the employee's or owner's participation in the plan.

94  
95 "Dependent" means any person, whether or not related by blood or  
96 marriage, who receives from the officer or employee, or provides  
97 to the officer or employee, more than one-half of his financial  
98 support.  
99

100 "Business" means a corporation, partnership, sole proprietorship,  
101 firm, enterprise, franchise, association, trust or foundation, or  
102 any other individual or entity carrying on a business or  
103 profession, whether or not for profit.  
104

105 "Close financial association" means an association in which the person  
106 filing shares significant financial involvement with an individual  
107 and the filer would reasonably be expected to be aware of the  
108 individual's business activities and would have access to the  
109 necessary records either directly or through the individual.

110 "Close financial association" does not mean an association based  
111 on (i) the receipt of retirement benefits or deferred compensation  
112 from a business by which the person filing this statement is no  
113 longer employed, or . "Close financial association" does not include an  
114 association based on (ii) the receipt of compensation for work performed  
115 by the person filing as an independent contractor of a business  
116 that represents an entity before any state governmental agency when  
117 the person filing has had no communications with the state  
118 governmental agency.  
119

120 "Contingent liability" means a liability that is not presently fixed  
121 or determined, but may become fixed or determined in the future with  
122 the occurrence of some certain event.  
123

124 "Gift" means any gratuity, favor, discount, entertainment,  
125 hospitality, loan, forbearance, or other item having monetary value.  
126 It includes services as well as gifts of transportation, local  
127 travel, lodgings and meals, whether provided in-kind, by purchase  
128 of a ticket, payment in advance or reimbursement after the expense  
129 has been incurred. "Gift" shall not include any offer of a ticket  
130 or other admission or pass unless the ticket, admission, or pass is  
131 used. "Gift" shall not include honorary degrees and presents from  
132 relatives. "Relative" means the donee's spouse, child, uncle, aunt,  
133 niece, or nephew; a person to whom the donee is engaged to be  
134 married; the donee's or his spouse's parent, grandparent,  
135 grandchild, brother, or sister; or the donee's brother's or  
136 sister's spouse.  
137

138 TRUST. If you or your immediate family, separately or together,  
139 are the only beneficiaries of a trust, treat the trust's assets  
140 as if you own them directly. If you or your immediate family has  
141 a proportional interest in a trust, treat that proportion of the  
142 trust's assets as if you own them directly. For example, if you  
143 and your immediate family have a one-third interest in a trust,  
144 complete your Statement as if you own one-third of each of the  
145 trust's assets. If you or a member of your immediate family  
146 created a trust and can revoke it without the beneficiaries'  
147 consent, treat its assets as if you own them directly.  
148

149 REPORT TO THE BEST OF INFORMATION AND BELIEF. Information  
150 required on this Statement must be provided on the basis of the  
151 best knowledge, information and belief of the individual filing  
152 the Statement as of the date of this report unless otherwise  
153 stated.  
154

155 COMPLETE ITEMS 1 THROUGH 10. REFER TO SCHEDULES ONLY IF DIRECTED.  
156

157 You may attach additional explanatory information.  
158

159 1. Offices and Directorships.

160 Are you or a member of your immediate family a paid officer  
161 or paid director of a business?  
162 EITHER check NO / / OR check YES / / and complete  
163 Schedule A.

164 2. Personal Liabilities.

165 Do you or a member of your immediate family owe more than  
166 \$10,000 to any one creditor including contingent liabilities?  
167 (Exclude debts to any government and loans secured by recorded

168 liens on property at least equal in value to the loan.)  
169 EITHER check NO / / OR check YES / / and complete  
170 Schedule B.

171 3. Securities.

172 Do you or a member of your immediate family, directly or  
173 indirectly, separately or together, own securities valued  
174 in excess of \$10,000 invested in one business? Account for  
175 mutual funds, limited partnerships and trusts.  
176 EITHER check NO / / OR check YES / / and complete  
177 Schedule C.

178 4. Payments for Talks, Meetings, and Publications.

179 During the past 12 months did you receive lodging,  
180 transportation, money, or anything else of value with a  
181 combined value exceeding \$200 for a single talk, meeting,  
182 or published work in your capacity as an officer or employee of  
183 your agency?  
184 EITHER check NO / / OR check YES / / and complete  
185 Schedule D.

186 5. Gifts.

187 During the past 12 months did a business, government, or  
188 individual other than a relative or personal friend (i) furnish  
189 you with any gift or entertainment at a single event, and the  
190 value received by you exceeded \$50 in value or (ii) furnish you  
191 with gifts or entertainment in any combination and the value  
192 received by you exceeded \$100 in total value; and for  
193 which you neither paid nor rendered services in exchange?  
194 Account for entertainment events only if the average value  
195 per person attending the event exceeded \$50 in value.  
196 Account for all business entertainment (except if related to  
197 your private profession or occupation) even if unrelated  
198 to your official duties.  
199 EITHER check NO / / OR check YES / / and complete  
200 Schedule E.

201 6. Salary ~~and~~, Wages and Deferred Compensation Paid.

202 List each employer that pays you or a member of your immediate  
203 family (i) salary or wages in excess of \$10,000 annually, and (ii)  
204 compensation in excess of \$10,000 pursuant to a deferred  
205 compensation agreement. (Exclude state or local government or  
206 advisory agencies.) If no reportable salary or wages,  
207 check here / /.

208 .....  
209 .....  
210 .....

211 7. Business Interests.

212 Do you or a member of your immediate family, separately or  
213 together, operate your own business, or own or control an  
214 interest in excess of \$10,000 in a business?  
215 EITHER check NO / / OR check YES / / and complete  
216 Schedule F.

217 8. Payments for Representation and Other Services.

218 8A. Did you represent, excluding activity defined as lobbying in

219 § 2.2-419, any businesses before any state governmental  
220 agencies, excluding courts or judges, for which you received  
221 total compensation during the past 12 months in excess of  
222 \$1,000, excluding compensation for other services to such  
223 businesses and representation consisting solely of the filing  
224 of mandatory papers and subsequent representation regarding the  
225 mandatory papers? (Officers and employees of local  
226 governmental and advisory agencies do NOT need to answer this  
227 question or complete Schedule G-1.)  
228 EITHER check NO / / OR check YES / / and complete  
229 Schedule G-1.

230 8B. Subject to the same exceptions as in 8A, did persons with whom

231 you have a close financial association (partners, associates or  
232 others) represent, excluding activity defined as lobbying in  
233 § 2.2-419, any businesses before any state governmental  
234 agency for which total compensation was received during the past  
235 12 months in excess of \$1,000? (Officers and employees of local  
236 governmental and advisory agencies do NOT need to answer this  
237 question or complete Schedule G-2.)  
238 EITHER check NO / / OR check YES / / and complete  
239 Schedule G-2.

240 8C. Did you or persons with whom you have a close financial  
241 association furnish services to businesses operating in

242 Virginia pursuant to an agreement between you and such businesses,  
 243 or between persons with whom you have a close financial  
 244 association and such businesses for which total compensation in  
 245 excess of \$1,000 was received during the past 12 months?  
 246 EITHER check NO / / OR check YES / / and complete  
 247 Schedule G-3.

248 9. Real Estate.

249 9A. State Officers and Employees.

250 Do you or a member of your immediate family hold an interest,  
 251 including a partnership interest, valued at \$10,000 or more in  
 252 real property (other than your principal residence) for which  
 253 you have not already listed the full address on Schedule F?  
 254 Account for real estate held in trust.  
 255 EITHER check NO / / OR check YES / / and complete  
 256 Schedule H-1.

257 9B. Local Officers and Employees.

258 Do you or a member of your immediate family hold an interest,  
 259 including a partnership interest, valued at \$10,000 or more in  
 260 real property located in the county, city or town in which you  
 261 serve or in a county, city or town contiguous to the county,  
 262 city or town in which you serve (other than your principal  
 263 residence) for which you have not already listed the full  
 264 address on Schedule F? Account for real estate held in trust.  
 265 EITHER check NO / / OR check YES / / and complete  
 266 Schedule H-2.

267 10. Real Estate Contracts with Governmental Agencies.

268 Do you or a member of your immediate family hold an interest  
 269 valued at more than \$10,000 in real estate, including a  
 270 corporate, partnership, or trust interest, option,  
 271 easement, or land contract, which real estate is the  
 272 subject of a contract, whether pending or completed within  
 273 the past 12 months, with a governmental agency? If the  
 274 real estate contract provides for the leasing of the property  
 275 to a governmental agency, do you or a member of your immediate  
 276 family hold an interest in the real estate valued at more than  
 277 \$1,000? Account for all such contracts whether or not your  
 278 interest is reported in Schedule F, H-1, or H-2. This  
 279 requirement to disclose an interest in a lease does not apply  
 280 to an interest derived through an ownership interest in a  
 281 business unless the ownership interest exceeds three percent  
 282 of the total equity of the business.  
 283 EITHER check NO / / OR check YES / / and complete Schedule I.

284 Statements of Economic Interests are open for public inspection.

285 AFFIRMATION BY ALL FILERS.

286 I swear or affirm that the foregoing information is full, true  
287 and correct to the best of my knowledge.

288 Signature.....  
 289 Commonwealth of Virginia  
 290 .....of.....to wit:  
 291 The foregoing disclosure form was acknowledged before me  
 292 This.....day of....., 19.., by .....,  
 293 Notary Public  
 294 My commission expires.....

295 (Return only if needed to complete Statement.)

300 SCHEDULES  
 301 TO  
 302 STATEMENT OF ECONOMIC INTERESTS.

303 NAME.....

304 SCHEDULE A - OFFICES AND DIRECTORSHIPS.

305 Identify each business of which you or a member of your  
306 immediate family is a paid officer or paid director.

307 -----  
308 -----

316	Name of Business	Address of Business	Position Held
317	.....	.....	.....
318	.....	.....	.....
319	.....	.....	.....
320	.....	.....	.....
321	.....	.....	.....

RETURN TO ITEM 2

SCHEDULE B - PERSONAL LIABILITIES.

Report personal liability by checking each category. Report only debts in excess of \$10,000. Do not report debts to any government. Do not report loans secured by recorded liens on property at least equal in value to the loan. Report contingent liabilities below and indicate which debts are contingent.

1. My personal debts are as follows:

-----

340	Check appropriate categories	341	Check one \$10,001 to \$50,000	342	More than \$50,000
344	Banks	.....	.....		
345	Savings institutions	.....	.....		
346	Other loan or finance companies	.....	.....		
347	Insurance companies	.....	.....		
348	Stock, commodity or other brokerage companies	.....	.....		
349	Other businesses:				
351	(State principal business activity for each creditor.)	.....	.....		
352	.....	.....	.....		
353	.....	.....	.....		
354	.....	.....	.....		
355	Individual creditors:				
356	(State principal business or occupation of each creditor.)	.....	.....		
357	.....	.....	.....		
358	.....	.....	.....		

2. The personal debts of the members of my immediate family are as follows:

-----

369	Check appropriate categories	370	Check one \$10,001 to \$50,000	371	More than \$50,000
373	Banks	.....	.....		
374	Savings institutions	.....	.....		
375	Other loan or finance companies	.....	.....		
376	Insurance companies	.....	.....		
377	Stock, commodity or other brokerage companies	.....	.....		
378	Other businesses:				
379	(State principal business activity for each creditor.)	.....	.....		
380	.....	.....	.....		
381	.....	.....	.....		
382	.....	.....	.....		
383	.....	.....	.....		
384	Individual creditors:				
385	(State principal business or occupation of each creditor.)	.....	.....		
386	.....	.....	.....		
387	.....	.....	.....		
388	.....	.....	.....		

390 -----  
391 RETURN TO ITEM 3  
392

393 SCHEDULE C - SECURITIES.

394  
395 "Securities" INCLUDES stocks, bonds, "Securities" EXCLUDES  
396 mutual funds, limited partnerships, certificates of deposit,  
397 and commodity futures contracts. money market funds, annuity  
398 contracts, and insurance  
399 policies.

400  
401 Identify each business or Virginia governmental entity in which  
402 you or a member of your immediate family, directly or indirectly,  
403 separately or together, own securities valued in excess of \$10,000.  
404 Name each entity and type of security individually.

405  
406 Do not list U.S. Bonds or other government securities not issued  
407 by the Commonwealth of Virginia or its authorities, agencies, or  
408 local governments. Do not list organizations that do not do  
409 business in this Commonwealth, but most major businesses conduct  
410 business in Virginia. Account for securities held in trust.

411 If no reportable securities, check here / /.

412 -----  
413 -----

Name of Issuer	Type of Entity	Type of Security (stocks, bonds, mutual funds, etc.)	Check one		
			\$10,001 to \$50,000	More than \$50,001	More than \$250,000
.....	.....	.....	.....	.....	.....
.....	.....	.....	.....	.....	.....
.....	.....	.....	.....	.....	.....
.....	.....	.....	.....	.....	.....

425 -----  
426 -----

427 RETURN TO ITEM 4

428  
429 SCHEDULE D - PAYMENTS FOR TALKS, MEETINGS, AND PUBLICATIONS.

430  
431 List each source from which you received during the past 12 months  
432 lodging, transportation, money, or any other thing of value  
433 (excluding meals or drinks coincident with a meeting) with  
434 combined value exceeding \$200 for your presentation of a single  
435 talk, participation in one meeting, or publication of a work in  
436 your capacity as an officer or employee of your agency.

437  
438 List payments or reimbursements by an advisory or governmental  
439 agency only for meetings or travel outside the Commonwealth.

440 List a payment even if you donated it to charity.

441  
442  
443 Do not list information about a payment if you returned it within  
444 60 days or if you received it from an employer already listed  
445 under Item 6 or from a source of income listed on Schedule F.

446 If no payment must be listed, check here / /.

447 -----  
448 -----

Payer	Approximate Value	Circumstances	Type of Payment
			(e.g., Travel reimbursement, etc.)
.....	.....	.....	.....
.....	.....	.....	.....
.....	.....	.....	.....
.....	.....	.....	.....

459 -----  
460 -----

461 RETURN TO ITEM 5

462  
463

464 SCHEDULE E - GIFTS.

465 List each business, governmental entity, or individual that,
466 during the past 12 months, (i) furnished you with any gift or
467 entertainment at a single event and the value received by
468 you exceeded \$50 in value, or (ii) furnished you with gifts or
469 entertainment in any combination and the value received by you
470 exceeded \$100 in total value; and for which you neither paid
471 nor rendered services in exchange. List each such gift or
472 event. Do not list entertainment events unless the
473 average value per person attending the event exceeded \$50
474 in value. Do not list business entertainment
475 related to your private profession or occupation. Do not
476 list gifts or other things of value given by a relative
477 or personal friend for reasons clearly unrelated
478 to your public position. Do not list campaign contributions
479 publicly reported as required by Chapter 9 (§ 24.2-900 et seq.)
480 of Title 24.2 of the Code of Virginia.

Table with 4 columns: Name of Business, City or County and State, Gift or Event, Approximate Value. Rows 481-492 are mostly empty.

495 RETURN TO ITEM 6

497 SCHEDULE F - BUSINESS INTERESTS.

498 Complete this Schedule for each self-owned or family-owned business
499 (including rental property, a farm, or consulting work),
500 partnership, or corporation in which you or a member of your
501 immediate family, separately or together, own an interest having
502 a value in excess of \$10,000.

505 If the enterprise is owned or operated under a trade, partnership,
506 or corporate name, list that name; otherwise, merely explain the
507 nature of the enterprise. If rental property is owned or
508 operated under a trade, partnership, or corporate name, list the
509 name only; otherwise, give the address of each property. Account
510 for business interests held in trust.

Table with 5 columns: Name of Business, City or County and State, Nature of Enterprise, Gross Income, Net Income. Row 520 has handwritten entries.

531 RETURN TO ITEM 8

533 SCHEDULE G-1 - PAYMENTS FOR REPRESENTATION BY YOU.

534 List the businesses you represented, excluding activity
535 defined as lobbying in § 2.2-419, before any state governmental
536 agency, excluding any court or judge, for which you received

538 total compensation during the past 12 months in excess of \$1,000,  
539 excluding compensation for other services to such businesses and  
540 representation consisting solely of the filing of mandatory  
541 papers and subsequent representation regarding the mandatory  
542 papers filed by you.

544 Identify each business, the nature of the representation and the  
545 amount received by dollar category from each such business. You  
546 may state the type, rather than name, of the business if you are  
547 required by law not to reveal the name of the business  
548 represented by you.

549  
550 Only STATE officers and employees should complete this Schedule.

551 -----  
552 -----  
553 -----  
554 -----

Name of Busi- ness	Type of Busi- ness	Pur- pose of Repre- senta- tion	Name of Agen- cy	Amount Received				
				\$1,001 to \$10,000	\$10,001 to \$50,000	\$50,001 to \$100,000	\$100,001 to \$250,000	\$250,001 and over
555								
556								
557								
558								
559								
560								
561								
562	....	....	....	....	....	....	....	....
563	....	....	....	....	....	....	....	....
564	....	....	....	....	....	....	....	....
565	....	....	....	....	....	....	....	....
566								

567  
568 If you have received \$250,001 or more from a single business within  
569 the reporting period, indicate the amount received, rounded to the  
570 nearest \$10,000. Amount Received: \_\_\_\_\_.

571  
572 SCHEDULE G-2 - PAYMENTS FOR REPRESENTATION BY ASSOCIATES.

573  
574 List the businesses that have been represented, excluding activity  
575 defined as lobbying in § 2.2-419, before any state  
576 governmental agency, excluding any court or judge, by persons  
577 who are your partners, associates or others with whom you have  
578 a close financial association and who received total  
579 compensation in excess of \$1,000 for such representation during  
580 the past 12 months, excluding representation consisting solely  
581 of the filing of mandatory papers and subsequent representation  
582 regarding the mandatory papers filed by your partners,  
583 associates or others with whom you have a close financial  
584 association.

585  
586 Identify such businesses by type and also name the state  
587 governmental agencies before which such person appeared on  
588 behalf of such businesses.

589  
590 Only STATE officers and employees should complete this Schedule.

591 -----  
592 -----  
593 -----  
594 -----

Type of Business	Name of State Governmental Agency
595	
596	
597	.....
598	.....
599	.....
600	
601	
602	

603  
604 SCHEDULE G-3 - PAYMENTS FOR SERVICES GENERALLY.

605  
606 Indicate below types of businesses that operate in Virginia to  
607 which services were furnished by you or persons with whom you  
608 have a close financial association pursuant to an agreement between you  
609 and such businesses, or between persons with whom you have a close financial  
610 association and such businesses and for which total compen-  
611 sation in excess of \$1,000 was received during the past 12 months.

612  
 613 Identify opposite each category of businesses listed below (i)  
 614 the type of business, (ii) the type of service rendered and  
 615 (iii) the value by dollar category of the compensation received  
 616 for all businesses falling within each category.  
 617

618 -----  
 619 -----

	Check	Type	Value of Compensation				
	if	of					
	ser-	ser-					
	vices	vice					
	were	ren-	\$1,001	\$10,001	\$50,001	\$100,001	\$250,001
	ren-	dered	to	to	to	to	and
	dered		\$10,000	\$50,000	\$100,000	\$250,000	over
628	Electric						
629	utilities	.....	.....	.....	.....	.....	.....
630	Gas util-						
631	ities	.....	.....	.....	.....	.....	.....
632	Telephone						
633	utilities	.....	.....	.....	.....	.....	.....
634	Water util-						
635	ities	.....	.....	.....	.....	.....	.....
636	Cable tele-						
637	vision						
638	companies	.....	.....	.....	.....	.....	.....
639	Interstate						
640	transporta-						
641	tion com-						
642	panies	.....	.....	.....	.....	.....	.....
643	Intrastate						
644	transporta-						
645	tion com-						
646	panies	.....	.....	.....	.....	.....	.....
647	Oil or gas						
648	retail com-						
649	panies	.....	.....	.....	.....	.....	.....
650	Banks	.....	.....	.....	.....	.....	.....
651	Savings						
652	institutions	.....	.....	.....	.....	.....	.....
653	Loan or fi-						
654	nance com-						
655	panies	.....	.....	.....	.....	.....	.....
656							
657							
658	Manufactur-						
659	ing com-						
660	panies (state						
661	type of pro-						
662	duct, e.g.,						
663	textile, fur-						
664	niture, etc.)	.....	.....	.....	.....	.....	.....
665	Mining com-						
666	panies	.....	.....	.....	.....	.....	.....
667	Life insur-						
668	ance com-						
669	panies	.....	.....	.....	.....	.....	.....
670	Casualty in-						
671	surance com-						
672	panies	.....	.....	.....	.....	.....	.....
673	Other insur-						
674	ance com-						
675	panies	.....	.....	.....	.....	.....	.....
676	Retail com-						
677	panies	.....	.....	.....	.....	.....	.....
678	Beer, wine						
679	or liquor						
680	companies or						
681	distributors	.....	.....	.....	.....	.....	.....
682	Trade asso-						
683	ciations	.....	.....	.....	.....	.....	.....
684	Professional						
685	associations	.....	.....	.....	.....	.....	.....

686 Associations  
 687 of public  
 688 employees or  
 689 officials .....  
 690 Counties,  
 691 cities or  
 692 towns .....  
 693 Labor organi-  
 694 zations .....  
 695 Other .....

RETURN TO ITEM 9

SCHEDULE H-1 - REAL ESTATE - STATE OFFICERS AND EMPLOYEES.

List real estate other than your principal residence in which you or a member of your immediate family holds an interest, including a partnership interest, option, easement, or land contract, valued at \$10,000 or more. You may list each parcel of real estate individually if you wish.

List each location (state, and county or city) where you own real estate.	Describe the type of real estate you own in each location (business, recreational, apartment, commercial, open land, etc.).	If the real estate is owned or recorded in a name other than your own, list that name.
---	---	--

SCHEDULE H-2 - REAL ESTATE - LOCAL OFFICERS AND EMPLOYEES.

List real estate located in your county, city, or town, and any contiguous county, city, or town other than your principal residence in which you or a member of your immediate family holds an interest, including a partnership interest, option, easement, or land contract, valued at \$10,000 or more. You may list each parcel of real estate individually if you wish.

List each location (state, and county or city) where you own real estate.	Describe the type of real estate you own in each location (business, recreational, apartment, commercial, open land, etc.).	If the real estate is owned or recorded in a name other than your own, list that name.
---	---	--

RETURN TO ITEM 10

SCHEDULE I - REAL ESTATE CONTRACTS WITH GOVERNMENTAL AGENCIES.

List all contracts, whether pending or completed within the past 12 months, with a governmental agency for the sale or exchange of real estate in which you or a member of your immediate family holds an interest, including a corporate, partnership or trust interest, option, easement, or land contract, valued at \$10,000 or more. List all contracts with a

760 governmental agency for the lease of real estate in which you or  
 761 a member of your immediate family holds such an interest valued  
 762 at \$1,000 or more. This requirement to disclose an interest in a  
 763 lease does not apply to an interest derived through an ownership  
 764 interest in a business unless the ownership interest exceeds  
 765 three percent of the total equity of the business.  
 766 State officers and employees report contracts with state agencies.  
 767 Local officers and employees report contracts with local agencies.

768 -----  
 769 -----  
 770

771 List your real 772 estate interest 773 and the person 774 or entity, 775 including the 776 type of entity, 777 which is party 778 to the contract. 779 Describe any 780 management role 781 and the percentage 782 ownership interest 783 you or your 784 immediate family 785 member has in the 786 real estate or entity.	771 List each 772 governmental agency 773 which is a party to 774 the contract and in- 775 dicate the county 776 or city where the real 777 estate is located.	771 State the annual 772 income from the 773 contract, and the 774 amount, if any, of 775 income you or any 776 immediate family 777 member derives 778 annually from the 779 contract.
787 .....	787 .....	787 .....
788 .....	788 .....	788 .....
789 .....	789 .....	789 .....
790 .....	790 .....	790 .....
791 .....	791 .....	791 .....
792 -----	792 -----	792 -----
793 -----	793 -----	793 -----

795 § 2.2-3118. Disclosure form; certain citizen members.

796 A. The financial disclosure form to be used for filings required pursuant to subsection B of § 2.2-  
 797 3114 and subsection B of § 2.2-3115 shall be substantially as follows:

798 DEFINITIONS AND EXPLANATORY MATERIAL.

799 "Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise,  
 800 association, trust or foundation, or any other individual or entity carrying on a business or profession,  
 801 whether or not for profit.

802 "Close financial association" means an association in which the person filing shares significant  
 803 financial involvement with an individual and the filer would reasonably be expected to be aware of the  
 804 individual's business activities and would have access to the necessary records either directly or through  
 805 the individual. "Close financial association" does not mean an association based on (i) the receipt of  
 806 retirement benefits or deferred compensation from a business by which the person filing this statement is  
 807 no longer employed, or (ii) the receipt of compensation for work performed by the person filing as an

808 independent contractor of a business that represents an entity before any state governmental agency  
809 when the person filing has no communications with the state governmental agency.

810 "Contingent liability" means a liability that is not presently fixed or determined, but may become  
811 fixed or determined in the future with the occurrence of some certain event.

812 "Deferred compensation" means income that is taxed when received and not when earned.

813 "Deferred compensation plan" means an arrangement whereby an employee or owner defers  
814 some portion of their current income until a specified date. A deferred compensation plan may entitle  
815 the empoyeee or owner to a portion of investment income generated by virtue of the employer's or  
816 owner's participation in the plan.

817 "Immediate family" means (i) a spouse and (ii) any other person residing in the same household  
818 as the filer, who is a dependent of the filer or of whom the filer is a dependent.

819 "Dependent" means any person, whether or not related by blood or marriage, who receives from  
820 the filer, or provides to the filer, more than one-half of his financial support.

821 "Personal interest" means, for the purposes of this form only, a personal and financial benefit or  
822 liability accruing to a filer or a member of his immediate family. Such interest shall exist by reason of (i)  
823 ownership in real or personal property, tangible or intangible; (ii) ownership in a business; (iii) income  
824 from a business; or (iv) personal liability on behalf of a business; however, unless the ownership interest  
825 in a business exceeds three percent of the total equity of the business, or the liability on behalf of a  
826 business exceeds three percent of the total assets of the business, or the annual income, and/or property  
827 or use of such property, from the business exceeds \$10,000 or may reasonably be anticipated to exceed  
828 \$10,000, such interest shall not constitute a "personal interest."

829 Name .....  
830 Office or position held or to be held .....  
831 .....  
832 Address .....

834 I. FINANCIAL INTERESTS

835  
836 My personal interests and those of my immediate family are as  
837 follows:

838 Include all forms of personal interests held at the time of filing:  
839 real estate, stocks, bonds, equity interests in proprietorships and  
840 partnerships. You may exclude:

- 841 1. Deposits and interest bearing accounts in banks, savings  
842 institutions and other institutions accepting such  
843 deposits or accounts;
- 844 2. Interests in any business, other than a news medium, representing  
845 less than three percent of the total equity value of the business;
- 846 3. Liability on behalf of any business representing less than three

847 percent of the total assets of such business; and  
 848 4. Income (other than from salary) less than \$10,000 annually from  
 849 any business. You need not state the value of any interest. You must  
 850 state the name or principal business activity of each business in  
 851 which you have a personal interest.

- 852 A. My personal interests are:
- 853 1. Residence, address, or, if no address, location .....
  - 854 2. Other real estate, address, or, if no address, location .....
  - 855 3. Name or principal business activity of each business in which  
 856 stock, bond or equity interest is held .....
- 857 B. The personal interests of my immediate family are:
- 858 1. Real estate, address or, if no address, location .....
  - 859 2. Name or principal business activity of each business in which  
 860 stock, bond or equity interest is held .....

862 II. OFFICES, DIRECTORSHIPS AND SALARIED EMPLOYMENTS

863  
 864 The paid offices, paid directorships and salaried employments which  
 865 I hold or which members of my immediate family hold and the  
 866 businesses from which I or members of my immediate family receive  
 867 retirement benefits are as follows:  
 868 (You need not state any dollar amounts.)

869 A. My paid offices, paid directorships and salaried employments are:

870 -----  
 871 -----  
 872 -----

874 Position held	874 Name of business
875 .....	875 .....
876 .....	876 .....
877 .....	877 .....
878 -----	878 -----
879 -----	879 -----
880 -----	880 -----

882 B. The paid offices, paid directorships and salaried employments of  
883 members of my immediate family are:

884 -----  
 885 -----  
 886 -----

888 Position held	888 Name of business
889 .....	889 .....
890 .....	890 .....
891 .....	891 .....
892 -----	892 -----
893 -----	893 -----
894 -----	894 -----

896 III. BUSINESSES TO WHICH SERVICES WERE FURNISHED

897  
 898 A. The businesses I have represented, excluding activity defined as  
 899 lobbying in § 2.2-419, before any state governmental agency,  
 900 excluding any court or judge, for which I have received total  
 901 compensation in excess of \$1,000 during the preceding year, excluding  
 902 compensation for other services to such businesses and representation  
 903 consisting solely of the filing of mandatory papers, are as follows:  
 904 Identify businesses by name and name the state governmental  
 905 agencies before which you appeared on behalf of such businesses.

906 -----  
 907 -----  
 908 -----

910 Name of business	910 Name of governmental agency
911 .....	911 .....
912 .....	912 .....
913 .....	913 .....
914 -----	914 -----
915 -----	915 -----
916 -----	916 -----

917  
 918 B. The businesses that, to my knowledge, have been represented,  
 919 excluding activity defined as lobbying in § 2.2-419, before any  
 920 state governmental agency, excluding any court or judge, by persons

921 with whom I have a close financial association and who received total
922 compensation in excess of \$1,000 during the preceding year, excluding
923 compensation for other services to such businesses and representation
924 consisting solely of the filing of mandatory papers, are as follows:

925 Identify businesses by type and name the state governmental
926 agencies before which such person appeared on behalf of such
927 businesses.

928
929 -----
930 -----
931
932 Type of business Name of state governmental agency
933 .....
934 .....
935
936 -----
937 -----

939 C. All other businesses listed below that operate in Virginia to
940 which services were furnished pursuant to an agreement between you
941 and such businesses and for which total compensation in
942 excess of \$1000 was received during the preceding year:

943 Check each category of business to which services were furnished.

- 944 -----
945 -----
946 -----
947
948 Electric utilities .....
949 Gas utilities .....
950 Telephone utilities .....
951 Water utilities .....
952 Cable television companies .....
953 Intrastate transportation companies .....
954 Interstate transportation companies .....
955 Oil or gas retail companies .....
956 Banks .....
957 Savings institutions .....
958 Loan or finance companies .....
959 Manufacturing companies (state type of product, e.g.,
960 textile, furniture, etc.) .....
961 Mining companies .....
962 Life insurance companies .....
963 Casualty insurance companies .....
964 Other insurance companies .....
965 Retail companies .....
966 Beer, wine or liquor companies or distributors .....
967 Trade associations .....
968 Professional associations .....
969 Associations of public employees or officials .....
970 Counties, cities or towns .....
971 Labor organizations .....

972 -----
973 -----
974 -----

975
976 IV. COMPENSATION FOR EXPENSES

977
978 The persons, associations, or other sources other than my
979 governmental agency from which I or a member of my immediate family
980 received remuneration in excess of \$200 during the preceding year,
981 in cash or otherwise, as honorariums or payment of expenses in
982 connection with my attendance at any meeting or other function to
983 which I was invited in my official capacity are as follows:

984 -----
985 -----
986
987 Description Amount of remuneration
988 Name of Source of occasion for each occasion
989 .....
990 .....
991
992 -----
993 -----

994 B. The provisions of Part III A and B of the disclosure form prescribed by this section shall not  
995 be applicable to officers and employees of local governmental and local advisory agencies.

996 C. Except for real estate located within the county, city or town in which the officer or employee  
997 serves or a county, city or town contiguous to the county, city or town in which the officer or employee  
998 serves, officers and employees of local governmental or advisory agencies shall not be required to  
999 disclose under Part I of the form any other interests in real estate.

1000 § 30-110. Disclosure.

1001 A. Every legislator and legislator-elect shall file, as a condition to assuming office, a disclosure  
1002 statement of his personal interests and such other information as is specified on the form set forth in §  
1003 30-111 and thereafter shall file such a statement annually on or before January 8. When the filing  
1004 deadline falls on a Saturday, Sunday, or legal holiday, the disclosure statement shall be filed on the next  
1005 day that is not a Saturday, Sunday, or legal holiday. Disclosure forms shall be provided by the clerk of  
1006 the appropriate house to each legislator and legislator-elect not later than November 30 of each year.  
1007 Members of the Senate shall file their disclosure forms with the Clerk of the Senate and members of the  
1008 House of Delegates shall file their disclosure forms with the Clerk of the House of Delegates. The  
1009 disclosure forms of the members of the General Assembly shall be maintained as public records for five  
1010 years in the office of the clerk of the appropriate house.

1011 B. Candidates for the General Assembly shall file a disclosure statement of their personal  
1012 interests as required by §§ 24.2-500 through 24.2-503.

1013 C. Any legislator who has a personal interest in any transaction pending before the General  
1014 Assembly and who is disqualified from participating in that transaction pursuant to § 30-108 and the  
1015 rules of his house shall disclose his interest in accordance with the applicable rule of his house.

1016 § 30-111. Disclosure form.

1017 A. The disclosure form to be used for filings required by subsections A and B of § 30-110 shall  
1018 be substantially as follows:

1019 STATEMENT OF ECONOMIC INTERESTS.  
1020  
1021 Name .....  
1022 Office or position held or sought .....  
1023 Home address .....  
1024 Names of members of immediate family .....

## DEFINITIONS AND EXPLANATORY MATERIAL.

1025  
1026  
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"Immediate family" means (i) a spouse and (ii) any other person residing in the same household as the legislator, who is a dependent of the legislator or of whom the legislator is a dependent.

"Deferred compensation" means income that is taxed when received and not when earned.

"Deferred compensation plan" means an arrangement whereby an employee or owner defers some portion of their current income until a specified date. A deferred compensation plan may entitle the employee or owner to a portion of investment income generated by virtue of the employee's or owner's participation in the plan.

"Dependent" means any person, whether or not related by blood or marriage, who receives from the legislator, or provides to the legislator, more than one-half of his financial support.

"Business" means a corporation, partnership, sole proprietorship, firm, enterprise, franchise, association, trust or foundation, or any other individual or entity carrying on a business or profession, whether or not for profit.

"Close financial association" means an association in which the filer shares significant financial involvement with an individual and the filer would reasonably be expected to be aware of the individual's business activities and would have access to the necessary records either directly or through the individual. "Close financial association" does not mean an association based on (i) the receipt of retirement benefits or deferred compensation from a business by which the legislator is no longer employed, ~~or (ii) the receipt of compensation for work performed by the legislator as an independent contractor of a business that represents an entity before any state governmental agency when the legislator has had no communications with the state governmental agency.~~

"Contingent liability" means a liability that is not presently fixed or determined, but may become fixed or determined in the future with the occurrence of some certain event.

"Gift" means any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, or other item having monetary value. It includes services as well as gifts of transportation, local travel, lodgings and meals, whether provided in-kind, by purchase of a ticket, payment in advance or reimbursement after the expense has been incurred. "Gift" shall not include any offer of a ticket or other admission or pass unless the ticket, admission, or pass is used. "Gift" shall not include honorary degrees and presents from relatives. "Relative" means the donee's spouse, child, uncle, aunt, niece, or nephew; a person to whom the donee is engaged to be married; the donee's or his spouse's parent, grandparent, grandchild, brother, or sister; or the donee's brother's or sister's spouse.

"Lobbyist relationship" means (i) an engagement, agreement, or representation that relates to legal services, consulting services, or public relations services, whether gratuitous or for compensation, between a member or member-elect and any person who is, or has been within the prior calendar year, registered as

1085 a lobbyist with the Secretary of the Commonwealth, or (ii) a  
 1086 greater than three percent ownership interest by a member or member-  
 1087 elect in a business that employs, or engages as an independent  
 1088 contractor, any person who is, or has been within the prior  
 1089 calendar year, registered as a lobbyist with the Secretary of  
 1090 the Commonwealth. The disclosure of a lobbyist relationship  
 1091 shall not (i) constitute a waiver of any attorney-client or other  
 1092 privilege, (ii) require a waiver of any attorney-client or other  
 1093 privilege for a third party, or (iii) be required where a member  
 1094 or member-elect is employed or engaged by a person and such person  
 1095 also employs or engages a person in a lobbyist relationship so long  
 1096 as the member or member-elect has no financial interest in the  
 1097 lobbyist relationship.  
 1098

1099 TRUST. If you or your immediate family, separately or together, are  
 1100 the only beneficiaries of a trust, treat the trust's assets as if  
 1101 you own them directly. If you or your immediate family has a  
 1102 proportional interest in a trust, treat that proportion of the  
 1103 trust's assets as if you own them directly. For example, if you  
 1104 and your immediate family have a one-third interest in a trust,  
 1105 complete your Statement as if you own one-third of each of the  
 1106 trust's assets. If you or a member of your immediate family created  
 1107 a trust and can revoke it without the beneficiaries' consent, treat  
 1108 its assets as if you own them directly.  
 1109

1110 REPORT TO THE BEST OF INFORMATION AND BELIEF. Information required  
 1111 on this Statement must be provided on the basis of the best  
 1112 knowledge, information and belief of the individual filing the  
 1113 Statement as of the date of this report unless otherwise stated.  
 1114

1115 COMPLETE ITEMS 1 THROUGH 10. REFER TO SCHEDULES ONLY IF DIRECTED.  
 1116 You may attach additional explanatory information.

1117 1. Offices and Directorships.

1118 Are you or a member of your immediate family a paid officer or  
 1119 paid director of a business?

1120 EITHER check NO / / OR check YES / / and complete Schedule A.

1121 2. Personal Liabilities.

1122 Do you or a member of your immediate family owe more than  
 1123 \$10,000 to any one creditor including contingent liabilities?  
 1124 (Exclude debts to any government and loans secured by recorded  
 1125 liens on property at least equal in value to the loan.)

1126 EITHER check NO / / OR check YES / / and complete Schedule B.

1127 3. Securities.

1128 Do you or a member of your immediate family, directly or  
 1129 indirectly, separately or together, own securities valued in  
 1130 excess of \$10,000 invested in one business? Account for mutual  
 1131 funds, limited partnerships and trusts.

1132 EITHER check NO / / OR check YES / / and complete Schedule C.

1133 4. Payments for Talks, Meetings, and Publications.

1134 During the past 12 months did you receive lodging,  
 1135 transportation, money, or anything else of value with a  
 1136 combined value exceeding \$200 for a single talk, meeting, or  
 1137 published work in your capacity as a legislator?

1138 EITHER check NO / / OR check YES / / and complete Schedule D.

1139 5. Gifts.

1140 During the past 12 months did a business, government, or  
 1141 individual other than a relative or personal friend (i) furnish  
 1142 you with any gift or entertainment at a single event, and the  
 1143 value received by you exceeded \$50 in value or (ii) furnish you  
 1144 with gifts or entertainment in any combination and the value

1145 received by you exceeded \$100 in total value; and for  
1146 which you neither paid nor rendered services in exchange?  
1147 Account for entertainment events only if the average value  
1148 per person attending the event exceeded \$50 in value.  
1149 Account for all business entertainment (except if related to  
1150 your private profession or occupation) even if unrelated  
1151 to your official duties.  
1152 EITHER check NO / / OR check YES / / and complete Schedule E.  
1153 6. Salary, ~~and~~ Wages, and Deferred Compensation Paid.  
1154 List each employer that pays you or a member of your immediate  
1155 family (i) salary or wages in excess of \$10,000 annually, and (ii)  
1156 compensation in excess of \$10,000 pursuant to a deferred  
1157 compensation agreement. (Exclude state or local government or advisory  
1158 agencies.) If no reportable salary or wages, check here / /.  
1159 .....  
1160 .....  
1161 .....  
1162 7. Business Interests and Lobbyist Relationships.  
1163 7A. Do you or a member of your immediate family, separately or  
1164 together, operate your own business, or own or control an  
1165 interest in excess of \$10,000 in a business?  
1166 EITHER check NO / / OR check YES / / and complete Schedule F-1.  
1167 7B. Do you have a lobbyist relationship as that term is defined  
1168 above?  
1169 EITHER check NO / / OR check YES / / and complete Schedule F-2.  
1170 8. Payments for Representation and Other Services.  
1171 8A. Did you represent any businesses before any state governmental  
1172 agencies, excluding courts or judges, for which you received  
1173 total compensation during the past 12 months in excess of  
1174 \$1,000, excluding compensation for other services to such  
1175 businesses and representation consisting solely of the filing  
1176 of mandatory papers and subsequent representation regarding  
1177 the mandatory papers?  
1178 EITHER check NO / / OR check YES / / and complete Schedule G-1.  
1179 8B. Subject to the same exceptions as in 8A, did persons with whom  
1180 you have a close financial association (partners, associates or  
1181 others) represent any businesses before any state governmental  
1182 agency for which total compensation was received during the  
1183 past 12 months in excess of \$1,000?  
1184 EITHER check NO / / OR check YES / / and complete Schedule G-2.  
1185 8C. Did you or persons with whom you have a close financial  
1186 association furnish services to businesses operating in  
1187 Virginia pursuant to an agreement between you and such businesses,  
1188 or between persons with whom you have a close financial association  
1189 and such businesses for which total compensation in excess of \$1,000  
1190 was received during the past 12 months?  
1191 EITHER check NO / / OR check YES / / and complete Schedule G-3.  
1192 9. Real Estate.  
1193 Do you or a member of your immediate family hold an interest,  
1194 including a partnership interest, valued at \$10,000 or more in  
1195 real property (other than your principal residence) for which  
1196 you have not already listed the full address on Schedule F?  
1197 Account for real estate held in trust.  
1198 EITHER check NO / / OR check YES / / and complete Schedule H.  
1199 10. Real Estate Contracts with State Governmental Agencies.  
1200 Do you or a member of your immediate family hold an interest  
1201 valued at more than \$10,000 in real estate, including a  
1202 corporate, partnership, or trust interest, option, easement,  
1203 or land contract, which real estate is the subject of a  
1204 contract, whether pending or completed within the past 12

1205 months, with a state governmental agency? If the real estate  
 1206 contract provides for the leasing of the property to a state  
 1207 governmental agency, do you or a member of your immediate  
 1208 family hold an interest in the real estate, including a  
 1209 corporate, partnership, or trust interest, option, easement,  
 1210 or land contract valued at more than \$1,000? Account for all  
 1211 such contracts whether or not your interest is reported in  
 1212 Schedule F or H. This requirement to disclose an  
 1213 interest in a lease does not apply to an interest derived  
 1214 through an ownership interest in a business unless the ownership  
 1215 interest exceeds three percent of the total equity of the  
 1216 business.

1217 EITHER check NO / / OR check YES / / and complete Schedule I.

1218  
 1219 Statements of Economic Interests are open for public inspection.

1220  
 1221 AFFIRMATION.

1222  
 1223 In accordance with the rules of the house in which I serve, if I  
 1224 receive a request that this disclosure statement be corrected,  
 1225 augmented, or revised in any respect, I hereby pledge that I shall  
 1226 respond promptly to the request. I understand that if a  
 1227 determination is made that the statement is insufficient, I will  
 1228 satisfy such request or be subjected to disciplinary action of  
 1229 my house.

1230  
 1231 I swear or affirm that the foregoing information is full, true and  
 1232 correct to the best of my knowledge.

1233  
 1234 Signature .....  
 1235 Commonwealth of Virginia  
 1236 ..... of ..... to wit:  
 1237 The foregoing disclosure form was acknowledged before me  
 1238 This ..... day of ....., 20. . ., by .....,  
 1239 Notary Public  
 1240 My commission expires .....  
 1241 (Return only if needed to complete Statement.)

1242  
 1243 SCHEDULES  
 1244 TO  
 1245 STATEMENT OF ECONOMIC INTERESTS.

1246  
 1247 NAME .....

1248  
 1249 SCHEDULE A - OFFICES AND DIRECTORSHIPS.

1250  
 1251 Identify each business of which you or a member of  
 1252 your immediate family is a paid officer or paid director.

1253 -----  
 1254 -----

1255 Name of Business	Address of Business	Position Held
1256 .....	.....	.....
1257 .....	.....	.....
1258 .....	.....	.....
1259 .....	.....	.....

1260 -----  
 1261 -----

1262 RETURN TO ITEM 2

1263 SCHEDULE B - PERSONAL LIABILITIES.

1264

1265 Report personal liability by checking each category. Report only  
 1266 debts in excess of \$10,000. Do not report debts to any  
 1267 government. Do not report loans secured by recorded liens on  
 1268 property at least equal in value to the loan.  
 1269 Report contingent liabilities below and indicate which debts are  
 1270 contingent.

1271 1. My personal debts are as follows:  
 1272 -----  
 1273 -----

Check appropriate categories	Check one \$10,001 to \$50,000	Check one More than \$50,000
1274 Banks	.....	.....
1275 Savings institutions	.....	.....
1276 Other loan or finance companies	.....	.....
1277 Insurance companies	.....	.....
1278 Stock, commodity or other brokerage 1279 companies	.....	.....
1280 Other businesses: 1281 (State principal business activity 1282 for each creditor.)	.....	.....
1283 .....	.....	.....
1284 .....	.....	.....
1285 Individual creditors: 1286 (State principal business or occupation 1287 of each creditor.)	.....	.....
1288 .....	.....	.....
1289 .....	.....	.....
1290 -----	-----	-----
1291 -----	-----	-----
1292 -----	-----	-----
1293 -----	-----	-----
1294 -----	-----	-----

1295 2. The personal debts of the members of my immediate family are  
 1296 as follows:  
 1297 -----  
 1298 -----

Check appropriate categories	Check one \$10,001 to \$50,000	Check one More than \$50,000
1299 Banks	.....	.....
1300 Savings institutions	.....	.....
1301 Other loan or finance companies	.....	.....
1302 Insurance companies	.....	.....
1303 Stock, commodity or other brokerage 1304 companies	.....	.....
1305 Other businesses: 1306 (State principal business activity for 1307 each creditor.)	.....	.....
1308 .....	.....	.....
1309 .....	.....	.....
1310 Individual creditors: 1311 (State principal business or occupation 1312 of each creditor.)	.....	.....
1313 .....	.....	.....
1314 .....	.....	.....
1315 -----	-----	-----
1316 -----	-----	-----
1317 -----	-----	-----
1318 -----	-----	-----
1319 -----	-----	-----

1320 RETURN TO ITEM 3

1321 SCHEDULE C - SECURITIES.

1322 "Securities" INCLUDES stocks, bonds, "Securities" EXCLUDES  
 1323  
 1324

1325 mutual funds, limited partnerships, certificates of deposit,  
1326 and commodity futures contracts. money market funds, annuity  
1327 contracts, and insurance policies.

1328 Identify each business or Virginia governmental entity in which you  
1329 or a member of your immediate family, directly or indirectly,  
1330 separately or together, own securities valued in excess of \$10,000. Name  
1331 each entity and type of security individually.

1333 Do not list U.S. Bonds or other government securities not issued  
1334 by the Commonwealth of Virginia or its authorities, agencies, or  
1335 local governments. Do not list organizations that do not do  
1336 business in this Commonwealth, but most major businesses conduct  
1337 business in Virginia. Account for securities held in trust.

1339 If no reportable securities, check here / /.

1341 -----  
1342 -----

1343	1344	1345	1346	1347	1348	1349	1350	1343				
								Type of Security	Check one			
								(stocks, bonds,	\$10,001	More	<u>\$50,001</u>	<u>More</u>
								mutual funds,	to	<del>than</del>	<u>than</u>	<u>than</u>
								etc.)	\$50,000	<u>\$250,000</u>	<u>\$250,000</u>	<u>\$250,000</u>
1347	.....	.....	.....	.....	.....	.....	.....	.....	.....	.....	.....	.....
1348	.....	.....	.....	.....	.....	.....	.....	.....	.....	.....	.....	.....
1349	.....	.....	.....	.....	.....	.....	.....	.....	.....	.....	.....	.....
1350	.....	.....	.....	.....	.....	.....	.....	.....	.....	.....	.....	.....

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1354 RETURN TO ITEM 4

1356 SCHEDULE D - PAYMENTS FOR TALKS, MEETINGS, AND PUBLICATIONS.

1358 List each source from which you received during the past 12  
1359 months lodging, transportation, money, or any other thing of  
1360 value (excluding meals or drinks coincident with a meeting) with  
1361 combined value exceeding \$200 for your presentation of a single  
1362 talk, participation in one meeting, or publication of a work in  
1363 your capacity as a legislator. List payments or reimbursements by  
1364 the Commonwealth only for meetings or travel outside the Commonwealth.  
1365 List a payment even if you donated it to charity. Do not list information  
1366 about a payment if you returned it within 60 days or if you received it  
1367 from an employer already listed under Item 6 or from a source of  
1368 income listed on Schedule F.

1370 If no payment must be listed, check here / /.

1372 -----  
1373 -----

1375	1376	1377	1378	1379	1380	1381	1382	1383	1384
Payer	Approximate Value	Circumstances	Type of Payment						
.....	.....	.....	(e.g. Honoria,	.....	.....	.....	Travel reim-	.....	.....
.....	.....	.....	bursement, etc.)	.....	.....	.....	.....	.....	.....
.....	.....	.....	.....	.....	.....	.....	.....	.....	.....
.....	.....	.....	.....	.....	.....	.....	.....	.....	.....

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SCHEDULE E - GIFTS.

List each business, governmental entity, or individual that, during the past 12 months, (i) furnished you with any gift or entertainment at a single event and the value received by you exceeded \$50 in value, or (ii) furnished you with gifts or entertainment in any combination and the value received by you exceeded \$100 in total value; and for which you neither paid nor rendered services in exchange. List each such gift or event. Do not list entertainment events unless the average value per person attending the event exceeded \$50 in value. Do not list business entertainment related to your private profession or occupation. Do not list gifts or other things of value given by a relative or personal friend for reasons clearly unrelated to your public position. Do not list campaign contributions publicly reported as required by Chapter 9 (§ 24.2-900 et seq.) of Title 24.2 of the Code of Virginia.

Name of Business, Organization, or Individual	City or County and State	Gift or Event	Approximate Value
.....	.....	.....	.....
.....	.....	.....	.....
.....	.....	.....	.....
.....	.....	.....	.....

SCHEDULE F-1 - BUSINESS INTERESTS.

Complete this Schedule for each self-owned or family-owned business (including rental property, a farm, or consulting work), partnership, or corporation in which you or a member of your immediate family, separately or together, own an interest having a value in excess of \$10,000. If the enterprise is owned or operated under a trade, partnership, or corporate name, list that name; otherwise, merely explain the nature of the enterprise. If rental property is owned or operated under a trade, partnership, or corporate name, list the name only; otherwise, give the address of each property. Account for business interests held in trust.

Name of Business, Corporation, Partnership, Farm; Address of Rental Property	City or County and State	Nature of Enterprise (farming, law, rental property, etc.)	\$50,000 or less	More than \$50,000	More than \$250,000	Income
.....	.....	.....	.....	.....	.....	.....
.....	.....	.....	.....	.....	.....	.....
.....	.....	.....	.....	.....	.....	.....

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SCHEDULE F-2 - LOBBYIST RELATIONSHIPS AND PAYMENTS.

Complete this Schedule for each lobbyist relationship with the following:

- (i) any person who is, or has been within the prior calendar year, registered as a lobbyist with the Secretary of the Commonwealth, or
- (ii) any business in which you have a greater than three percent ownership interest and that business employs, or engages as an independent contractor, any person who is, or has been within the prior calendar year, registered as a lobbyist with the Secretary of the Commonwealth.

List each person or business	Describe each relationship	Dates of relationship	Payments to Lobbyist
			<u>\$10,000 or less</u> <u>More than \$10,001</u>
.....	.....	.....	.....
.....	.....	.....	.....
.....	.....	.....	.....
.....	.....	.....	.....
.....	.....	.....	.....

THE DISCLOSURE OF A LOBBYIST RELATIONSHIP SHALL NOT (I) CONSTITUTE A WAIVER OF ANY ATTORNEY-CLIENT OR OTHER PRIVILEGE, (II) REQUIRE A WAIVER OF ANY ATTORNEY-CLIENT OR OTHER PRIVILEGE FOR A THIRD PARTY, OR (III) BE REQUIRED WHERE A MEMBER OR MEMBER-ELECT IS EMPLOYED OR ENGAGED BY A PERSON AND SUCH PERSON ALSO EMPLOYS OR ENGAGES A PERSON IN A LOBBYIST RELATIONSHIP SO LONG AS THE MEMBER OR MEMBER-ELECT HAS NO FINANCIAL INTEREST IN THE LOBBYIST RELATIONSHIP.

SCHEDULE G-1 - PAYMENTS FOR REPRESENTATION BY YOU.

List the businesses you represented before any state governmental agency, excluding any court or judge, for which you received total compensation during the past 12 months in excess of \$1,000, excluding compensation for other services to such businesses and representation consisting solely of the filing of mandatory papers and subsequent representation regarding the mandatory papers filed by you.

Identify each business, the nature of the representation and the amount received by dollar category from each such business. You may state the type, rather than name, of the business if you are required by law not to reveal the name of the business represented by you.

Name of Business	Type of Business	Purpose of Representation	Name of Agency	Amount Received
				\$1,001 to \$10,000    \$10,001 to \$50,000    \$50,001 to \$100,000    \$100,001 to \$250,000    \$250,001 and over
.....	.....	.....	.....	.....

1505 .....  
 1506 .....  
 1507 .....  
 1508 -----  
 1509 -----

1511 If you have received \$250,001 or more from a single business within  
 1512 the reporting period, indicate the amount received, rounded to the  
 1513 nearest \$10,000. Amount Received: \_\_\_\_\_.  
 1514

1515 SCHEDULE G-2 - PAYMENTS FOR REPRESENTATION BY ASSOCIATES.  
 1516

1517 List the businesses that have been represented before any state  
 1518 governmental agency, excluding any court or judge, by persons who  
 1519 are your partners, associates or others with whom you have a  
 1520 close financial association and who received total compensation  
 1521 in excess of \$1,000 for such representation during the past 12  
 1522 months, excluding representation consisting solely of the filing  
 1523 of mandatory papers and subsequent representation regarding the  
 1524 mandatory papers filed by your partners, associates or others  
 1525 with whom you have a close financial association.

1526 Identify such businesses by type and also name the state  
 1527 governmental agencies before which such person appeared on behalf  
 1528 of such businesses.  
 1529 -----  
 1530 -----

1531	Type of Business	Name of State Governmental Agency
1532	.....	.....
1533	.....	.....
1534	.....	.....
1535	-----	-----
1536	-----	-----

1538 SCHEDULE G-3 - PAYMENTS FOR REPRESENTATION AND OTHER SERVICES  
 1539 GENERALLY.  
 1540

1541 Indicate below types of businesses that operate in Virginia to  
 1542 which services were furnished by you or persons with whom you  
 1543 have a close financial association pursuant to an agreement between  
 1544 you and such businesses, or between persons with whom you have a close  
 1545 financial association and such businesses and for which total  
 1546 compensation in excess of \$1,000 was received during the past 12  
 1547 months.  
 1548

1549 Identify opposite each category of businesses listed below (i)  
 1550 the type of business, (ii) the type of service rendered and (iii)  
 1551 the value by dollar category of the compensation received for all  
 1552 businesses falling within each category.  
 1553 -----  
 1554 -----  
 1555 -----

1557	Check	Type	Value of Compensation				
1558	if	of					
1559	ser-	ser-					
1560	were	vice					
1561	ren-	dered	\$1,001	\$10,001	\$50,001	\$100,001	\$250,001
1562	ren-	dered	to	to	to	to	and
1563	dered		\$10,000	\$50,000	\$100,000	\$250,000	over
1564	Electric						

1565 utilities .....  
1566 Gas util-  
1567 ities .....  
1568 Telephone  
1569 utilities .....  
1570 Water util-  
1571 ities .....  
1572 Cable tele-  
1573 vision  
1574 companies .....  
1575 Interstate  
1576 transporta-  
1577 tion com-  
1578 panies .....  
1579 Intrastate  
1580 transporta-  
1581 tion com-  
1582 panies .....  
1583 Oil or gas  
1584 retail com-  
1585 panies .....  
1586 Banks .....  
1587 Savings  
1588 insti-  
1589 tutions .....  
1590 Loan or fi-  
1591 nance com-  
1592 panies .....  
1593 Manufac-  
1594 turing com-  
1595 panies  
1596 (state type  
1597 of product,  
1598 e.g., tex-  
1599 tile, furni-  
1600 ture, etc.) ....  
1601 Mining com-  
1602 panies .....  
1603 Life insur-  
1604 ance com-  
1605 panies .....  
1606 Casualty in-  
1607 surance com-  
1608 panies .....  
1609 Other insur-  
1610 ance com-  
1611 panies .....  
1612 Retail com-  
1613 panies .....  
1614 Beer, wine  
1615 or liquor  
1616 companies  
1617 or distrib-  
1618 tors .....  
1619 Trade asso-  
1620 ciations .....  
1621 Profes-  
1622 sional asso-  
1623 ciations .....  
1624 Associa-

1625 tions of  
 1626 public em-  
 1627 ployees or  
 1628 officials .....  
 1629 Counties,  
 1630 cities or  
 1631 towns .....  
 1632 Labor or-  
 1633 ganizations.....  
 1634 Other .....  
 1635 -----  
 1636 -----

RETURN TO ITEM 9

1638 SCHEDULE H - REAL ESTATE.

1639  
 1640 List real estate other than your principal residence in which  
 1641 you or a member of your immediate family holds an interest,  
 1642 including a partnership interest, option, easement, or land  
 1643 contract, valued at \$10,000 or more. You may list each parcel  
 1644 of real estate individually if you wish.  
 1645 -----  
 1646 -----

1647 List each location	Describe the type of	If the real estate
1648 (state, and county	real estate you own	is owned or recorded
1649 or city) where you	in each location	in a name other than
1650 own real estate.	(business, recreational,	your own, list that
1651	apartment, commercial,	name.
1652	open land, etc.).	
1653 .....	.....	.....
1654 .....	.....	.....
1655 .....	.....	.....
1656 .....	.....	.....
1657 .....	.....	.....

RETURN TO ITEM 10

1662 SCHEDULE I - REAL ESTATE CONTRACTS WITH STATE GOVERNMENTAL AGENCIES.

1663  
 1664 List all contracts, whether pending or completed within the past  
 1665 12 months, with a state governmental agency for the sale or  
 1666 exchange of real estate in which you or a member of your  
 1667 immediate family holds an interest, including a corporate,  
 1668 partnership or trust interest, option, easement, or land  
 1669 contract, valued at \$10,000 or more. List all contracts with a  
 1670 state governmental agency for the lease of real estate in which  
 1671 you or a member of your immediate family holds such an interest  
 1672 valued at \$1,000 or more. This requirement to disclose an  
 1673 interest in a lease does not apply to an interest derived  
 1674 through an ownership interest in a business unless the ownership  
 1675 interest exceeds three percent of the total equity of the  
 1676 business.  
 1677 -----  
 1678 -----  
 1679 -----  
 1680 -----

1681 List your real	List each	State the annual
1682 estate interest and	governmental agency	income from the
1683 the person or entity,	which is a party to	contract, and the
1684 including the type of	the contract and	amount, if any,

1685	entity, which is	indicate the county	of income you or
1686	party to the contract.	or city where the	any immediate
1687	Describe any	real estate is	family member
1688	management role and	located.	derives annually
1689	the percentage		from the contract.
1690	ownership interest		
1691	you or your immediate		
1692	family member has in		
1693	the real estate		
1694	or entity.		
1695	.....	.....	.....
1696	.....	.....	.....
1697	.....	.....	.....
1698	.....	.....	.....
1699	.....	.....	.....
1700	-----	-----	-----
1701	-----	-----	-----

1702           B. Any legislator who makes a knowing misstatement of a material fact on the Statement of  
1703 Economic Interests shall be subject to disciplinary action for such violations by the house in which the  
1704 legislator sits.

1705           C. In accordance with the rules of each house, the Statement of Economic Interests of all  
1706 members of each house shall be reviewed. If a legislator's Statement is found to be inadequate as filed,  
1707 the legislator shall be notified in writing and directed to file an amended Statement correcting the  
1708 indicated deficiencies, and a time shall be set within which such amendment shall be filed. If the  
1709 Statement of Economic Interests, in either its original or amended form, is found to be adequate as filed,  
1710 the legislator's filing shall be deemed in full compliance with this section as to the information disclosed  
1711 thereon.

1712           D. Ten percent of the membership of a house, on the basis of newly discovered facts, may in  
1713 writing request the house in which those members sit, in accordance with the rules of that house, to  
1714 review the Statement of Economic Interests of another member of that house in order to determine the  
1715 adequacy of his filing. In accordance with the rules of each house, each Statement of Economic Interests  
1716 shall be promptly reviewed, the adequacy of the filing determined, and notice given in writing to the  
1717 legislator whose Statement is in issue. Should it be determined that the Statement requires correction,  
1718 augmentation or revision, the legislator involved shall be directed to make the changes required within  
1719 such time as shall be set under the rules of each house.

1720           If a legislator, after having been notified in writing in accordance with the rules of the house in  
1721 which he sits that his Statement is inadequate as filed, fails to amend his Statement so as to come into

1722 compliance within the time limit set, he shall be subject to disciplinary action by the house in which he  
1723 sits. No legislator shall vote on any question relating to his own Statement.

1724 #

1725